# This Page Is Inserted by IFW Operations and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

STROOCK

Ø 001

### STROOCK

FACSIMILE

DATE

February 11, 2004

·o		COMPANY NAME	FAX NO.	PHONE NO.	
office of Initial Patent Examination iling Receipt Corrections		U.S. Patent and Trademark Office	703 746 9195	703 308 120	
TOTAL NO. OF PAGES	15 (incl	uding fax coversheet)			
FROM	James ).	DeCarlo, Reg. No. 36,120		<del></del>	
SENDER'S FAX NO.	212-806	5-6006		<del></del>	
SENDER'S PHONE NO.	212-806	5-5742			
SENDER'S EMAIL	JDECA	RLO@stroock.com	•		
ROUM NO.	3860			•	
ATTORNEY NO.	2620				
CLIENT/MATTER NAME	U.S. Pat	ent Appln. No. 10/670,099			
CLIENT/MATTER NO.	694231/	70118		.1	
MESSACE				<u> </u>	
	Transmitted herewith please find:				

- 1. Certificate of Transmission by Facsimile (1 pg); and
- Petition Requesting Correction of Filing Date...
   (3 pgs plus 10 sheets of attachments)

CONFIDENTIAL

The information contained in this facsimile is privileged and confidential, and is intended only for the use of the individual named above and others who have been specially authorized to receive such. If the recipient is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with this transmission, please notify us by telephone at 212-806-6102.

STROOCK & STROOCK & LAVAN LLP - NEW YORK - LOS ANGELES - MIAMI
180 MAIDEN LANT, NEW YORK, NY 10038-4982 TEL 212.806.5400 PAX 313.806.6006 WWW.STROOCK.COM

Docket No. 694231/0118

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew R. Volk

Group Art Unit: 2171

Application No.: 10/670,099

Examiner: Not Yet Known

Filed: September 22, 2003

For: METHOD AND APPARATUS FOR ORGANIZING AND PLAYING

DATA

Date: February 11, 2004

## CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 C.F.R. § 1.8)

Mail Stop Commisioner for Patents

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

Petition Requesting Correction of Filing Date (3 pgs. plus 10 pages of attachments)

is being transmitted by facsimile to the United States Patent and Trademark Office in accordance with 37 C.F.R. § 1.8 on the following date: February 11, 2004

Jeffrey Mann

(Typed Or Printed Name Of Person Signing this Certificate)

SSL-DOC\$1

Docket No. 694231/0118 JJD:JM

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew R. Volk

Group Art Unit: 2171

Application No.: 10/670,099

Examiner: Not Yet Known

Filed: September 22, 2003

For: METHOD AND APPARATUS FOR ORGANIZING AND PLAYING

DATA

Date: February 11, 2004

PETITION REQUESTING CORRECTION OF FILING DATE (37 C.F.R. § 1.10(c) and 37 C.F.R. § 1.10(d))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants have received a Filing Receipt for the above-identified patent application. It is noted that the indicated Filing Date of September 23, 2003, is incorrect. A copy of the first page of the Filing Receipt is annexed as Exhibit A.

There is a discrepancy between the filing date accorded by the Office (September 23, 2003) and the true date of deposit (September 22). For the following reasons, Applicants respectfully petition the Commissioner to accord this application a filing date of September 22, 2003.

This application was filed on September 22, 2003, utilizing the Express Mail procedure specified in 37 C.F.R. § 1.10. As required by 37 C.F.R. § 1.10(c)(3), and as proof this application was filed on September 22, 2003, Applicants annex as Exhibit B a copy of the Express Mail label (no. EL 898312568 US) for this filing bearing a USPS cancellation stamp dated September 22, 2003.

Petition Requesting Correction Of Filing Date (37 C.F.R. § 1.10(C) and 37 C.F.R. § 1.10(D))

It is noted that the "Date in" section of this label was erroneously filled in by the Postal Service clerk as "Mo 9 Day 23 Year 03", even though the application papers were deposited on September 22, 2003. However, the Postal Service cancellation stamp applied to the Express Mail label bears the correct date, September 22, 2003. This cancellation stamp constitutes an official USPS notation, as specified in 37 C.F.R. 1.10(c).

As required by 37 C.F.R. § 1.10(d) (3), and as proof this application was filed on September 22, 2003, Applicants have annexed as Exhibit C evidence directly obtained from the USPS that the label was erroneously filled out. As can be seen from the attached Track and Confirm document obtained from the USPS website, the application bearing the express mail number EL 898312568 US was accepted at the U.S. Post Office in New York, NY 10199 at 11:12PM on September 22, 2003.

As required by 37 C.F.R. § 1.10(c)(2) and 37 C.F.R. § 1.10(d)(2), the number of the Express Mail label was placed on the Utility Patent Application Transmittal form (PTO/SB/05), and the Certificate of Mailing by "Express Mail", both itemizing all the components papers of this application and submitted with the application. Copies of these papers are annexed as Exhibits D and E, respectively, as further proof that this application was filed on September 22, 2003, not September 23.

Correction of the Patent and Trademark Office's records and issuance of a corrected filing date are respectfully requested.

No fees are believed to be owed in connection with this Petition. Nevertheless, the Commissioner is hereby authorized to charge any fees now or hereafter due to Deposit Account No. 19-4709.

Petition Requesting Correction Of Filing Date (37 C.F.R. § 1.10(C) and 37 C.F.R. § 1.10(D))

In the event that there are any questions, or should additional information be required, please contact Applicants' attorney at the number listed below.

Respectfully submitted//

James M. DeCarlo
Registration No. 36,120
Attorney for Applicants

STROOCK & STROOCK & LAVAN, LLP

180 Maiden Lane

New York, New York 10038-4982

(212) 806-5400

**EXHIBIT A** 



James J. DeCarlo

180 Maiden Lane New York, NY 10038

Stroock & Stroock & Lavan LLP

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office. Address COMMISSIONER FOR PATENTS P.O. DOK 1450 Alexandria, Vigoria 22313-J150

APPL NO. FILING OR 371 ART UNIT FIL FEE REC'D ATTY, DOCKET NO DRAWINGS TOT CLMS IND CLMS 10/670,099 09/23/2003 2171 918 694231.0118 16 17 5

CONFIRMATION NO. 6282

FILING RECEIPT

OC000000011806945

00001100945

Date Mailed: 02/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if

Applicant(s)

Andrew R. Volk, San Francisco, CA;

Domestic Priority data as claimed by applicant

This application is a CIP of 10/459,341 06/11/2003

Foreign Applications

If Required, Foreign Filing License Granted: 01/31/2004

Projected Publication Date: 12/16/2004

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for organizing and playing data

**Preliminary Class** 

707

EXHIBIT B

A Company of the Comp	THE REPORT OF THE PART OF THE
POST OFFICE	
MAIL	
UNITED STATES POSTAL SERVICES TO ADDRESSE	E SASO
ORIGIN (POSTAL USE ONLY)  19 PO ZEP Communication of Delivery (Flot Rate Envelope)	
Data to	
10 X	REVERSE SIDE FOR
History Recurs Recapt Fee	SERVICE GUARANTEE AND LIMITS
Weight AM Int'l Alpha Country Code COD: Fee Incurence Fee	THE MANYS OF STREET PROPERTY AND STREET PROPER
Nip Debusin Absorption (2011)	This is a sign a true to proper to the control of t
U Westend	
GUSTOMERUSE ONLY METHOD OF PAYMENTS	Honorate Transport Control of Con
Statings Med Corporary Acq. No.	Flames Agoncy Accs, No. of Phank Service Accs, No.
FROM: (PLEASE PRINT) PHONE:	FC 2
STROOCK STROOCK & LAVARTINE	The state of the s
180 MAIDER LNA	MAIL STOP PATENT APPLICATION
MEW YORK NY 10038-4925	Commissioner for Patents P. O. Box 1450
JJD: JM	Alexandria, Virginia 22313-1450
	22513-1450
694231 - 0118	lie -
The state of the s	157,
FOR PICKUP OR TRACKING CALL 1-800	D-222-1811 www.usps.com 写图器写
	7 <b>3 3 3 3</b>

EXHIBIT C





## Track & Confirm

#### **Shipment Details**

You entered EL89 8312 568U S

Your item was delivered at 9:23 am on September 24, 2003 in ALEXANDRIA, VA 22313 to PATENT OFFICE. The item was signed for by J STECKEL.

Here is what happened earlier:

- ARRIVAL AT PICK-UP-POINT, September 23, 2003, 9:39 pm, ALEXANDRIA, VA 22313
- ARRIVAL AT UNIT, September 23, 2003, 9:24 pm, DULLES, VA 20102
- ACCEPTANCE, September 22, 2003, 11:12 pm, NEW YORK, NY 10199

#### **Notification Options**

▶ Track & Confirm by email

What is this?



▶ Request Proof of Delivery

What Is this?



POSTAL INSPECTORS
Preserving the Trust

site map contact us government services Copyright @ 1999-2002 USPS. All Rights Reserved. Terms of Use Privacy Policy **EXHIBIT D** 

Under the Paperwork Reduction Act of 1995, no persons are required to	U.S. Patent and Trade	roved for use through 07/31 mark Office. U.S. DEPARTI idon unlest ਵੈ displays a val	VENT OF OOMERCOC			
UTILITY	Attorney Dacket No.	694231.0118				
PATENT APPLICATION	First Inventor	Andrew R. VOLK				
TRANSMITTAL	Title	Method and Apparatus for Or	gentzing and Playing Date			
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.	EL 898312568 US				
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:	Mail Stop Patent Applicati Commissioner for Patenti P.O. Box 1450 Alexandria VA 22313-143				
1.  Fae Transmittal Form (e.g., PTO/SB/17)  (Submit an original and a duplicate for fee processing)  Applicant claims small entity status.  See 37 CFR 1.27.  3.  Specification (Total Pages 35 )  (preferred arrangement set forth below)  - Descriptive title of the Invention  Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Octaled Description  - Ctalmia)  - Abstract of the Disclosure  4.  Drawing(s) (35 U.S.C. 113)   Total Sheets 16   1  5. Outh or Occlaration   Total Sheets   16   1  5. Outh or Occlaration   Total Sheets   16   1  Copy from a prior application (37 CFR 1.83(d)) (for continuallon/divisional with Box 18 completed)  i.  DELETION OF INVENTOR(S)  Signed statement stateched detering inventor(s) name in the prior application, see 37 CFR	7. CD-ROM or CD-R in dupticate, large table or Computer Program (Appandix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper c. Statements verifying Identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of (when there is an assignee) 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations Preliminary Amendment 14. Return Receipt Postbard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s)					
1.63(d)(2) and 1.33(b).  6. Application Data Sheet See 37 CFR 1,76	16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attech form PTO/SB/35 or its equivalent. Other:					
18. If a CONTINUING APPLICATION, check appropriate box, and suppose the title or less a Application Date Short under 2	ply the requisite information					
specification following the title, or in an Application Date Sheet under 37 CFR 1.76:  Continuation  Continuation  Continuation  Continuation-part (CIP)  Of prior application No.: 10/459.341  Prior application information:  Examiner (Dot yet assigned)  An Unit:  For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an eath or declaration is supplied under Box Sb, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS						
Customer Number:	OR D	Correspondence ad	dress below			
Name James J. DeCarlo						
Address Stroock & Stroock & Lavan LLP						
180 Majden Lane	State NV	Zip Code				
1144 2014	State NY 212-806-		10038			
Name (PrintType) '11' ( DIFFE NEWFE	Registration No. (Attorney	3400	212-800-0000			
Signature I I I VIII	( mailing	D-4-	ptember 22, 2003			
This collection of information is required by 37 CFR 4.53(b). The information is required to obtain or retain a benefit by the public which is to Re (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including pathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trudemark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissions for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.  If you need assistance in complating the farm, call 1-800-PTO-9199 and solect option 2.						

SSL-DOCS1 -1380683v1

**EXHIBIT E** 

Docket No. 694231.0118

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew R. VOLK

Group Art Unit: To Be Assigned

Application No.: To be Assigned

Examiner: To be Assigned

Filed:

September 22, 2003

For: METHOD AND APPARATUS FOR ORGANIZING AND PLAYING DATA

Date: September 22, 2003

## CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 C.F.R. § 1.10)

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

I hereby certify that the following correspondence:

Specification ( pages); Drawings ( pages); Utility Patent Application Trans. (1 page); Fee Transmittal (in duplicate); Declaration and Power of Attorney of Andrew R. Volk (facsimile copy and executed) (3 pages); Assignment & Cover Sheet (3 pages); Postcard

is being deposited on <u>September 22, 2003</u> with the United States Postal Service for "Express Mail Post Office to Addressee" delivery under 37 C.F.R. § 1.10 in an envelope bearing sufficient postage and addressed to:

Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450.

Jeffrey Mann
(Typed Or Printed Name Of Person Mailing Correspondence)
- Iday 11/
(Signature Of Person Mailing Correspondence)
EL 898312568 US
("Express Mail" Mailing I shell Number)

SSL-DOCS1